



Financial Assistance Award

DENALI COMMISSION
510 "L" Street, Suite 410
Anchorage, Alaska 99501

Project Number
0019-DC-2001-11 – AMENDMENT No. 1

Denali Commission Accounting Code

Denali Commission Funding	95670000	\$7,894,931.19
Total Commission Funding		\$7,894,931.19
Funds Available & Apportioned by OMB		CCE

Recipient Name & Address

Alaska Native Tribal Health Consortium
Department of Environmental Health & Engineering
3925 Tudor Centre Dr.
Anchorage, AK 99508

Phone (907) 729-3600
Fax (907) 271-4735

Cost Share Distribution

	Denali Commission	Other contributors	Totals
Original Agreement	\$3,991,825.19	\$0	\$3,991,825.19
Amendment #1 – Fast Track "01" Small Clinics	\$ 3,903,106	\$0	\$ 3,903,106
Totals	\$7,894,931.19	\$0	\$7,894,931.19

Authority
112 Stat 1854

Project Title
Alaska Rural Primary Care Facility Planning,
Design and Construction – FY2001

Award Performance Period
From: January 1, 2001
To: December 31, 2005

This Amendment No. 1 to the Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☒ Memorandum of Understanding (MOU) between the Denali Commission and the Alaska Native Tribal Health Consortium – For Alaska Rural Primary Care Facilities Planning, Design and Construction, dated March 2001 (in the event of conflict between the MOU and the Financial Assistance Award the MOU shall take precedence).
- ☐ EDA Standard Terms and Conditions Public Works and Implementations Construction Components, dated 3/99
- ☒ Special Award Conditions and Attachments
- ☐ Line Item Budget
- ☐ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html)
- ☐ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments (www.whitehouse.gov/OMB/circulars/a087/a087-all.html)
- ☒ OMB Circular A-133, Audits of States, Local Governments and Non-Profit Organizations (www.whitehouse.gov/OMB/circulars/a133/a133.html)
- ☒ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)
- ☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations (www.whitehouse.gov/OMB/circulars/a122/a122.html)
- ☐ OMB Circular A-21, Cost Principles for Educational Institutions (www.whitehouse.gov/OMB/circulars/a021/a021.html)
- ☐ EDA Standard Terms and Conditions Capacity Building Programs
- ☐ Department of Commerce Financial Assistance Standard Terms and Conditions, dated 10/98

Signature of Authorized Official - Denali Commission

Typed Name and Title

Jeffrey B. Staser, Federal Co-Chair

Date

7/23/01

Signature of Authorized Official - ANTHC

Typed Name and Title

Paul Sherry, Chief Executive Officer

Date

7/26/01

**Award Conditions to the Financial Assistance Award
Amendment No. 1
Project No. 0019-DC-2001-I1
Alaska Rural Primary Care Facility Planning, Design, and Construction – FY2001
Alaska Native Tribal Health Consortium**

1. The scope of work for this Amendment No. 1 to the Financial Assistance Award is the planning, design and construction of rural primary care facilities. The estimated costs for this amended Award follows.

Applicant Organization	Recommended Funding	ANTHC Management Fee	Totals
Alatna Tribal Council	\$228,146.00	\$62,854.00	\$291,000.00
City of Akutan	\$271,024.00	\$6,233.55	\$277,257.55
Yukon-Kuskokwim Health Corporation - Nightmute	\$310,742.00	\$7,147.07	\$317,889.07
Egegik Village Council	\$241,000.00	\$5,543.00	\$246,543.00
Pribilof Island Aleut Community - St. George	\$56,158.00	\$1,291.63	\$57,449.63
Native Village of Tazlina	\$100,000.00	\$2,300.00	\$102,300.00
Beaver Tribal Council	\$154,900.00	\$3,562.70	\$158,462.70
Maniilaq Association - Kiana	\$700,000.00	\$16,100.00	\$716,100.00
Nanwalek IRA Council	\$244,812.00	\$5,630.68	\$250,442.68
Yukon Kuskokwim Health Corporation - Nunam Iqua	\$786,344.00	\$18,085.91	\$804,429.91
City of Pelican	\$10,588.00	\$243.52	\$10,831.52
Yukon Kuskokwim Health Corporation - Shageluk	\$655,327.00	\$15,072.52	\$670,399.52
Total	\$3,759,041.00	\$144,064.59	\$3,903,105.59
Rounded off, Total	\$3,759,041.00	\$144,065.00	\$3,903,106.00

Denali Commission funding for the Alaska Native Tribal Health Consortium (ANTHC) through this Amendment No. 1 to the Financial Assistance Award is \$3,903,106. Total Commission funding for this Amendment No. 1 and the original agreement is \$7,894,931.19. All Commission funding is intended for use for the scope of work specified above only. In the event there is a balance of funding after the full scope of work is completed, then the Commission will determine how the excess funds will be allocated.

2. This Amendment also provides clarification on Commission expectations of the ANTHC for Project Management Option 6, as identified in the Memorandum of Understanding between the Commission and ANTHC, dated March 2001.

Option	Project Management Methodology	ANTHC Program & Professional operations management fee*	ANTHC Central Operations Services fee *
6	Project managed by other organizations (e.g. ANTHC pass-through to Regional Health Corporation)	2.3%	0%

- A. ANTHC shall provide an environmental review and recommendation to the Commission on the proposed project. In the event the project sub-recipient has completed environmental and historic property reviews in accordance to another Federal agencies procedures, then these reviews can be submitted by ANTHC in lieu of their own reviews.
 - B. ANTHC will not release project funding to project sub-recipients until ANTHC or the sub-recipient has completed the environmental and historic property reviews discussed above.
 - C. The ANTHC shall report quarterly to the Commission on the status of all projects including financial reporting.
 - D. The ANTHC shall not be responsible for successful project completion (time, budget, and scope of work). This responsibility rests with the project sub-recipient. The ANTHC is responsible for reporting project status, distribution of project funding to the project sub-recipient and environmental and historical property reviews as discussed above. The ANTHC shall monitor the project status to the best of their abilities, given the limited ANTHC management fees identified for Option 6, to determine if the project is on time, on budget and the scope approved by the Commission is being built. The ANTHC shall immediately notify the Commission if there are concerns about the progress of the project sub-recipient, so corrective action may be taken by the Commission and/or the ANTHC.
 - E. The ANTHC shall enter into Agreement with the project sub-recipient and shall secure appropriate resolutions for identifying and authorizing a representative from the sub-recipient to enter into an Agreement on behalf of the sub-recipient and the ANTHC. Additional resolutions may be required such as recognizing Tribal NAGPRA rights and disposition of cultural items.
3. The Project Officer for the ANTHC is Mr. Rick Boyce and for the Denali Commission Mr. Joel Neimeyer.
4. [The ANTHC shall submit written progress reports to the Commission Project Officer quarterly (January/March, April/June, etc.). The reports are due within 30 calendar days of the end of the quarter.] Written notification is required in the event of significant project events that may extend the project schedule and/or impact the project budget. Quarterly progress reports shall include a narrative summary of the project status (for each clinic project) and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems. These progress reports shall be sent to:

Denali Commission
510 "L" Street, Suite 410
Anchorage, AK 99501.

The Commission reserves the right, at a future date, to direct the ANTHC to provide the progress reports in electronic format for posting on the Commission web page.

5. Two forms of project financial reports are required.
 - A. Project financial status reports shall be submitted to the Commission Project Officer quarterly (included as part of the quarterly progress reports). For each project that includes funding from the Denali Commission, the quarterly financial status report shall show the following:
 - i. the total project budget
 - ii. the total amount of Denali Commission funds committed to the project
 - iii. the total project expenditures as of the end of the most recent quarter
 - iv. the total expenditure of Denali Commission funds for the project as of the end of the most recent quarter based on pro rata share of total contributions (report can be by dollar amount or percentages)
 - v. the percentage of expenditures to the total budget; and
 - vi. a project performance analysis on project line items, as defined by ANTHC, showing budget costs compared to actual expenditures and obligations to date versus work performed to date.
 - B. A final Financial Status Report (Standard Form 269 – www.whitehouse.gov/OMB/grants/index.html#forms) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period.
6. To receive payment, the ANTHC must submit a “Request for Advance or Reimbursement”, Standard Form-270, to the Commission Project Officer. It is expected that the ANTHC will forecast by letter or spreadsheet format, Commission funds or working capital advances needed on a monthly (or as needed) basis for each line item in Paragraph No. 1 that is not eligible for advance funding. This does not preclude more frequent Commission payments, then monthly, given project specific needs. The Commission reserves the right to prohibit further payments to the ANTHC, at any time, if discrepancies involving Commission funds arise, until such time that the discrepancies are resolved to the satisfaction of the Commission.
7. To the maximum extent feasible, considering applicable laws, the ANTHC shall accomplish the work of this Agreement utilizing local labor.
8. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

9. Based upon proposed Federal funding, project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds. In accordance with NEPA and NHPA legislation, the ANTHC shall address all potential environmental concerns (specific and cumulative effects) associated with the projects, identified in this Agreement, in consultation with applicable Federal, State, and local authorities. If the scope of work is changed in the future, then the ANTHC will revisit the project level environmental reviews to determine if potential environmental concerns must be further addressed. In the interest of streamlining the project level environmental reviews and avoid duplication of effort, any other Federal Agency environmental review process carried out by the ANTHC on projects, identified in this Agreement, shall meet the intent of this paragraph.
10. Changes to the Financial Assistance Award can take two forms.

Amendments shall be identified by sequential number followed by the Denali Commission project number (i.e. Amendment No. 2 – Project # 0001-DC-1999-I1). The Commission Federal Co-Chair and the designated representative for the ANTHC shall execute these Amendments. These documents (in Financial Assistance Award format with attached Award Conditions) define the changes to the scope of work, project schedule and estimated costs for each specific project undertaken. In general, Amendments are used for changes in funding from the Commission and/or other sources to the total project amount.

Addendums to Financial Assistance Award are in letter format from the designated Project Officer for the Commission to the designated Project Officer for ANTHC. Addendums shall be identified by sequential letter followed by the Denali Commission project number (i.e. Addendum No. B – Project # 0001-DC-1999-I1). These Addendums shall be executed by the concurrence of the Commission Project Officer and approval by the Commission Chief of Staff. In general, Addendums are used for small changes in project scope. In addition, they can be used as a “delivery order” to ANTHC to do miscellaneous work associated with the primary health care program. These addendums shall include a scope of work, negotiated cost reimbursement, a method to measure completion of work, and lastly payment method.

AUG - 6 2001



Alaska Native Tribal Health Consortium

Department of Environmental Health and Engineering

3925 Tudor Centre Drive

Anchorage, Alaska 99508

Telephone: (907) 729-3600

Facsimile: (907) 271-4734

August 2, 2001

Joel Neimeyer
Denali Commission
510 "L" Street, Suite 410
Anchorage, Alaska 99501

Dear Joel:

RE: Amendment No. 1 to the Financial Assistance Award - Project No. 0019-DC-11, Your letter
July 23, 2001

As requested by referenced letter, returned herewith are two (2) signed originals of the
Amendment. ANTHC has retained the third signed original for our files.

Sincerely,

A handwritten signature in cursive script, appearing to read "Peggy R. Thomas".

PEGGY R. THOMAS
Contracting Consultant

Enclosures



DENALI COMMISSION

510 'L' Street, Suite 410
Anchorage, Alaska 99501

www.denali.gov
(907) 271-1414
Fax (907) 271-1415
Toll free 1-888-480-4321

July 5, 2001

Rick Boyce
Alaska Native Tribal Health Consortium
3925 Tudor Centre Drive
Anchorage, AK 99508

Dear Mr. Boyce: *Rick*

RE: Amendment No. 1 - Financial Assistance Award - Project No. - 0019-DC-2001-II
Alaska Rural Primary Care Facility Planning, Design and Construction - FY2001

Enclosed are three copies of the Financial Assistance Award documents, which have previously been reviewed by the Alaska Native Tribal Health Consortium (ANTHC). These documents define the respective roles and responsibilities of the Denali Commission and the ANTHC in carrying out the recently Commission-approved "Fast Track" Small Clinic funding for FY2001.

If the conditions of the award, as presented in these revised documents, are acceptable to you, please forward all three original documents to Mr. Paul Sherry, ANTHC Chief Executive Officer for his signature. Return two of the signed award agreements and retain the third for your records.

You may call me at the numbers provided above, or call Mr. Joel Neimeyer from my staff at 271-1459.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeff Staser".

Jeff Staser
Federal Co-Chair

Enclosure

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